New insights into the spatiotemporal structure of plastic flow by combination of modeling and in-situ experimental techniques

Kristián Máthis, Michal Knapek, František Chmelík Péter Dusán Ispánovity, Dávid Ugi, Szilvia Kalácska, Gábor Péterffy, Zoltán Dankházi, Dániel Tüzes, István Groma



FACULTY OF MATHEMATICS AND PHYSICS Charles University



Characterization of deformation behavior in bulk

Universal Testing Machines, (Micro)hardness

• Stress-strain (or load-time) dependence

Complementary "quasi in-situ" techniques

- Scanning electron microscopy (incl. EBSD)
- Diffraction methods (X-ray, neutrons, tomography)

Complementary *in-situ* techniques



Data acquisition takes time

(deformation test needs to be

repeatedly halted)

Characterization of deformation behavior in microsamples

Direct comparison with modeling

Dedicated nanodevices

• Stress-strain (or load-time) dependence

The results are very sensitive to experimental details

- Pillar shape (tapering)
- Machine stiffness
- Deformation rate
- Stage control etc.

Sampling rate ~250 Hz



Sparks and Maaß, PR

Materials, 2018



600

500 문 400

Engineeri 300 500

stress



Engineering strain (%)

3

10

5x5µm²

7x7un

Characterization of deformation behavior in microsamples

Direct comparison with modeling

Dedicated nanodevices - sampling rate ~250 Hz

• Stress-strain (or load-time) dependence

Complementary high spatial resolution techniques

- Scanning electron microscopy (incl. EBSD)
- Transmission electron microscopy



Á. I. Hegyi et al., Micros. Microanal., 2017

Low sampling rate ~ 1-2 Hz



Characterization of deformation behavior in micropillars



shutterstock.com · 2074183925

Characterization of deformation behavior in micropillars

Direct comparison with modeling

Dedicated nanodevices - sampling rate ~250 Hz

• Stress-strain (or load-time) dependence

Complementary high spatial resolution techniques

- Scanning electron microscopy (incl. EBSD)
- Transmission electron microscopy



Á. I. Hegyi et al., Micros. Microanal., 2017

Low sampling rate ~ 1-2 Hz



Complementary high temporal resolution techniques

- High-speed camera recording
- ACOUSTIC EMISSION sampling rate up to 40 MHz

Introduction to the acoustic emission (AE)

- ASTM E610-82: Acoustic emissions are transient elastic waves generated by the rapid release of energy from localized sources within the material.
- AE is **sound of danger** in the nature (earthquakes, rockbursts, avalanches)
- **AE in materials** information on the dynamic processes involved in plastic deformation and failure (collective dislocation motion, twinning, crack propagation etc.)



https://www.youtube.com/watch?v=HoRvTnsiaE8&t=85s



7

Basic principles of the AE measurements



https://www.youtube.com/watch?v=HoRvTnsiaE8&t=85s

Basic principles of the AE measurements

Hit-based method

- Threshold level exclusion of the background noise
- Recording of an AE hit starts, when signal cross first the threshold level and terminates, if signal is below threshold for hit-definition-time (dead time)
- The results are sensitive on proper (pre-experiment) choice of threshold and HDT



Basic principles of the AE measurements

Data streaming

- Continuous sampling and storing of the signal acquisition rate up to 40 MHz
- AE parameters from post-processing no data loss, better fit of set-up parameters
- Getting dynamic information about the plastic deformation



AE and dislocation movement

Scruby et al. (1981) - single dislocation: surface displacement of 10⁻¹⁵ m, detectable by AE: >10⁻¹³ m. ⇒ AE sensitive to collective correlated movement of large dislocation ensembles (dislocation avalanches);



P.D. Ispánovity et al., Nature Comm. 2022

AE and dislocation movement

- Scruby et al. (1981) single dislocation: surface displacement of 10⁻¹⁵ m, detectable by AE: >10⁻¹³ m. ⇒ AE sensitive to collective correlated movement of large dislocation ensembles (dislocation avalanches);
- Experimental evidence of intermittent character of PD AE signals during mechanical loading of materials. [M.-C. Miguel et al., *Nature*, 410(6829), 667-671, 2001; J. Weiss et al., *Science*, 299(5603), 89-92, 2003]
- Up to now, **deformation and AE experiments only on macroscopic samples** (mono-, poly-crystals).
- QUESTION: Can AE be employed to investigate plasticity in microsamples?

Plastic deformation in microsamples

- **FIB focused ion beam** new production method was developed: rectangular micropillars (non-tapered, faster production, ...).
- In-situ micromechanical device "Nanotest" and AE transducer placed directly in SEM (FEI Quanta 3D).
- Sample attached to the sensor (metallic strip + vacuum grease).
- Deformation test flat diamond tip.
- AE: Vallen Systeme GmbH AE system and preampifier + Physical Acoustic Corp. sensor.





[Á. Hegyi, et al., *Microsc. Microanal.* 23(6), 1076–1081, 2017]

Plastic deformation in microsamples





Part name	Total range	Resolution	Accuracy
X and Y stages	±8 mm	0.5 µm	0.01 μm
Coarse Z stage	9 mm	2 µm	0.5 µm
Fine Z stage	35 µm	1 nm	0.1 nm
Force sensor (with two possible presets)	20/50 mN	1/2.5 μN	1/2.5 µN





Zn micropillars oriented for single slip



Correlation between stress drops
and AE

Zn micropillars oriented for single slip



- Correlation between stress drops and AE
- Several AE events during a drop

Zn micropillars oriented for single slip



- Correlation between stress drops and AE
- Several AE events during a drop
- Single stress drop is a result of complex internal dynamics on timescales not accessible by stress measurements

Dislocation slip statistics vs. earthquakes dynamics



- Power-law behavior of the released energy
- A physical relation between the particular stress drops and the corresponding AE energies



• Productivity-law:

main shocks with larger energy produce on average more aftershocks

Statistical features of Zn micropillars resemble dynamics of earthquakes

Clustering in temporal processes



• Analysis of the waiting times t_w between the subsequent events



Clustering in temporal processes



- Analysis of the waiting times t_w between the subsequent events
- Universal gamma distribution $P(t_w) = \left(At_w^{-(1-\gamma)} + B\right) \exp(-\frac{t_w}{t_0})$
- Power-law distribution for small $(\leq 0.1s) t_w$: correlation between the events of the same stress drop
- Plateau with exponential cut-off for larger times: Poisson-like distribution, uncorrelated main shocks
- Negligible effect of the pillar size
- Platen velocity does not influence the single event dynamics
- In the cut-off region $B \propto v_p \ t_0 \propto v_p^{-1}$

Discrete dislocation dynamics modeling



- Excellent agreement with the experiments
- The complex dynamic behavior observed in experiments is the result of the spatio-temporal correlations of the dislocations due to their long-range elastic interactions

Conclusions

Experimental

- Profound correlations are observed between the energies of plastic and AE events, induced by the collective dissipative motion of dislocations
- AE is a convenient high-resolution "proxy" for elementary plastic events.

Scientific

- The intermittency and scale-invariance characterizing plastic deformation of HCP single crystals are related to the self-organized critical (SOC) behavior of dislocations
- The **plastic events exhibit both spatial and temporal clustering** with long-range correlations
- Statistical analyses further show that despite fundamental differences in deformation mechanism and involved length- and time-scales, dislocation avalanches and earthquakes are essentially alike.

Thank you

Nat. Commun. paper:



Youtube video:



This work was financially supported by:

- Hungarian Ministry of Human Capacities within the ELTE Institutional Excellence Program TKP2020-IKA-05 (P.D.I, D.U, G.P., D.T., Z.D. and I.G.),
- National Research, Development and Innovation Fund of Hungary (contract numbers NKFIH-K-119561 and NKFIH-FK-138975; P.D.I, D.U., G.P., D.T. and I.G.),
- ÚNKP-20-3, ÚNKP21-4 (D.U.), and ÚNKP-21-3 (G.P.) in the framework of the National Excellence Program of the Ministry for Innovation and Technology from the National Research, Development and Innovation Fund,
- Czech Science Foundation (grant No. 19-22604S; M.K. and F.C).